

**OUTTEN & GOLDEN LLP**

Justin M. Swartz  
Deirdre Aaron  
3 Park Avenue, 29th Floor  
New York, New York 10016  
Telephone: (212) 245-1000  
Facsimile: (212) 977-4005

**SHAVITZ LAW GROUP, P.A.**

Gregg I. Shavitz (admitted *pro hac vice*)  
1515 South Federal Highway, Suite 404  
Boca Raton, Florida 33432  
Telephone: (561) 447-8888  
Facsimile: (561) 447-8831

Jennifer L. Liu  
One Embarcadero Center, 38th Floor  
San Francisco, California 94111  
Telephone: (415) 638-8800  
Facsimile: (646) 509-2092

**IN THE UNITED STATES DISTRICT COURT  
FOR THE SOUTHERN DISTRICT OF NEW YORK**

DANA ABOUD, WILLIAM HICKS, MICHAEL  
POROWSKI, and ALBERT SCHWEIZER,  
individually and on behalf all others similarly  
situated,

Plaintiffs,

v.

CHARLES SCHWAB & CO., INC.,

Defendant.

**No. 14 Civ. 2712 (PAC)**

**NOTICE OF PLAINTIFFS' MOTION FOR CERTIFICATION OF THE SETTLEMENT  
CLASS, FINAL APPROVAL OF THE CLASS ACTION  
SETTLEMENT, AND APPROVAL OF THE FLSA SETTLEMENT**

For the reasons set forth in the Memorandum of Law in Support of Plaintiffs' Motion for Certification of the Settlement Class, Final Approval of the Class Action Settlement, and Approval of the FLSA Settlement, and in the Declaration of Justin M. Swartz in Support of Plaintiffs' Motion for Certification of the Settlement Class, Final Approval of the Class Action

Settlement, and Approval of the FLSA Settlement and the supporting exhibits attached thereto, Plaintiffs respectfully request that the Court enter an Order:

- (1) certifying the following settlement class under Federal Rule of Civil Procedure 23(a) and (b)(3) for purposes of effectuating the settlement (the “Rule 23 Class Members”): all persons who worked for Schwab in the position of Associate Financial Consultant or International CDT Financial Consultant in California from November 7, 2009 to February 15, 2014;
- (2) granting final approval of the Joint Stipulation of Settlement and Release, attached as Exhibit A to the Swartz Declaration;
- (3) granting final approval of the FLSA settlement; and
- (4) granting any other relief that the Court deems just and proper.

\* \* \*

Plaintiffs have contemporaneously submitted a Proposed Order, attached hereto as **Exhibit A**, for the Court’s convenience.

Dated: October 14, 2014  
New York, New York

Respectfully submitted,  
**OUTTEN & GOLDEN LLP**

/s/ Justin M. Swartz  
Justin M. Swartz

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Deirdre Aaron  
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New York, New York 10016  
Telephone: (212) 245-1000  
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**Attorneys for Plaintiffs and the Class and  
Putative Collective**